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ATTORNEY'S DOCKET NO. TRANSMITTAL LETTER TO THE UNITED STATES 600057.438USPC DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** 10/517,569 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/US04/31342 23 September 2004 23 September 2003 TITLE OF INVENTION SINGLET OXYGEN PHOTOSENSITIZERS ACTIVATED BY TARGET BINDING ENHANCING THE SELECTIVITY OF TARGETED PDT AGENTS APPLICANT(S) FOR DO/EO/US PALLENBERG, Alexander J.; CHEN, James C. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76 A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

Page 1 of 3

Notification of Missing Requirements; Return Receipt Postcard

Other items or information: Petition for Extension of Time Under 37 CFR 1.136(a); Statement Under 37 CFR 3.73(b); Copy of

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U.S. APPLICATION	NO. (If known, se	ee 37 CFR 1.5)	INTERNATIONAL AF	PPLICATION NO.	ATTORNEY'S DOC	KET NUM	BER	
10/517,569			PCT/US04/31342		600057.438USPC	957.438USPC		
The following	g fees are submit	ted			1			
21. Basic national fee (37 CFR 1.492(a))						.00		
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Addition	al fee for specifi	cation and drawin		r 100 sheets (exclud	ing			
			R 1.821(c) or (e) or (					
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	s \$250 for each	additional 50 shee	ts of paper or fractio	n thereof.				
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		whole number)	·					
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			fee, examination fe					
			tional stage (37 CFR	R 1.492(h)).		\$130.00		
Claims	N	umber Filed	Number Ext	····				
Total Claims		- 20 =		x \$ 50.00	\$	.00		
Independent Claim		- 3 =	<u></u>	x \$ 200.00		.00		
MULTIPLE DEPE	INDENT CLAIM		· · · · · · · · · · · · · · · · · · ·	+ \$360.00		.00		
Ammliana al di			F ABOVE CALC			\$130.00		
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.						\$65.00 \$65.00		
Processing fee of C	130 00 for firm:	ching the English		SUBTOTAL = 30 months from the		\$05.00		
earliest claimed pri			transiation later than	30 months from the		.00		
	, (5 / 0		TOTAL NAT	TIONAL FEE =		\$65.00		
Fee for recording t	he enclosed assi	gnment (37 CFR 1						
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$	.00		
Fee for extension of time to respond to Notification of Missing Requirements (37 CFR								
1.136(a)). A Petition for Extension of Time is included. +						\$795.00		
	<del> </del>		TOTAL FEES	ENCLOSED =		\$860.00		
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U.S. APPLICATION NO. (If known, see 37 CFR 1.5)	INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NUMBER					
10/517,569	PCT/US04/31342	600057.438USPC					
a. A check in the amount of \$860 to cover the	a. X A check in the amount of \$860 to cover the above fees is enclosed.						
Please charge my Deposit Account No. 19-1090 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.							
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-1090. A duplicate copy of this sheet is enclosed.							
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
e. If there is a deficiency in the basic national fee, the Commissioner is authorized to charge such deficiency to Deposit Account No. 19-1090. A duplicate of this sheet is enclosed. This is <b>not</b> a general authorization to charge the Deposit Account for any extra claim, examination, search or extra sheet fees.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
SEND ALL CORRESPONDENCE TO:		- # 42,676					
William T. Christiansen, Ph.D.	SIGNATURE						
Seed Intellectual Property Law Group PLLC							
701 5th Avenue, Suite 6300	William T. Christiansen, Ph.I	<b>D</b> .					
Seattle, WA 98104-7092	NAME						
United States of America							
(206) 622-4900	44,614						
•	REGISTRATION NUMBER	₹					
847063_1.DOC		(07/05)					



## United States Patent and Trademark Office

600057-4384SPC

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/517,569

Alexander J Pallenberg

<del>----59785-21</del>

PCT/US04/31342

PC1/U304/3

TE PRIO

I.A. FILING DATE

PRIORITY DATE

09/23/2004

09/23/2003

Davis Wright & Tremaine 1501 4th Avenue Suite 230 Seattle, WA 98101-1664 MUS PARTS. JUNE III 2006

APR 1 3 2006

**CONFIRMATION NO. 2653** 

INTERNATIONAL APPLICATION NO.

371 FORMALITIES LETTER

\*OC000000018499621\*

ENTERED IN DOCKET

ALREADY LAW GROUP PLLC

Date Mailed: 04/11/2006

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- · Indication of Small Entity Status
- Copy of the International Application filed on 12/09/2004
- Copy of the International Search Report filed on 12/09/2004
- Information Disclosure Statements filed on 04/10/2005
- Small Entity Statement filed on 12/09/2004
- Copy of references cited in ISR filed on 04/10/2005
- U.S. Basic National Fees filed on 12/09/2004
- Priority Documents filed on 12/09/2004

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

• Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

transferral

## CHRISTINE S WASHINGTON

Telephone: (703) 308-9140 EXT 228

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/517,569	PCT/US04/31342	59785-21

FORM PCT/DO/EO/905 (371 Formalities Notice)